

PRUDENTIAL MANAGEMENT & REGULATORY REPORTING

The prudential framework is a complex combination of European Directives and Regulations, rules and guidance from the Financial Conduct Authority (FCA) and technical standards issued by the European authorities.

Navigating and understanding the myriad sources of information can be an onerous and complicated task for many firms and distract from the running of their core business. Wheelhouse Advisors has a dedicated team which brings a strong prudential knowledge base and can provide guidance.

Our team has extensive experience in the prudential space and an excellent track record of providing pragmatic and efficient solutions. We pride ourselves on being both knowledge leaders and experts in the field allowing us to cut through the regulations and deliver commercial solutions.



(IFPR) impact assessment

Full impact assessment of the new prudential regime set to be implemented in June 2021, giving you a clear picture of what needs to be done, and when, to be ready.



Financial projections for FCA registrations

Production of robust financial projections for any FCA application.



ICAAP/ICARA reporting services

Consulting on establishing/adapting processes & governance arrangements. Expert drafting of ICAAP/ICARA Reports.



Prudential monitoring

Ongoing review of liquidity and capital adequacy and ad-hoc expert consulting to ensure embedded compliance with FCA rules.



AIFMD Annex IV reporting

Fully-outsourced preparation/submission of reporting across all European jurisdictions.



COREP reporting

Fully-outsourced preparation/submission of quarterly Common Reporting.



Prudential health-check

Detailed review of your compliance with prudential rules, guidance and best-practice.



Pillar III disclosure

Expert drafting of regulatory disclosures & hosting at www.pillar3.eu



GABRIEL reporting to FCA

Preparation/Submission of periodic reporting for any firm type.



Prudential advice & support

Ad-hoc assignments including reviews, consulting and forecasting.